



44  
44  
Section \$/

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Mark P. Bendett

Title: APPARATUS AND METHOD FOR INTEGRATED PHOTONIC DEVICES HAVING  
HIGH-PERFORMANCE WAVEGUIDES AND MULTICOMPOSITIONAL SUBSTRATES

Docket No.: 1014.010US1  
Filed: November 27, 2001  
Examiner: Unknown

Serial No.: 09/995,407  
Due Date: March 3, 2002  
Group Art Unit: Unknown

COPY OF PAPERS  
ORIGINALLY FILED

Attn: Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

We are transmitting herewith the attached:

- X A return postcard.
- X A check in the amount of \$65.00 to cover the Missing Parts Fee.
- X A check in the amount of \$502.00 to cover the Basic Filing Fee and Additional Claims Fee.
- X Communication Re: Missing Parts (1 pg.)
- X A signed Combined Declaration and Power of Attorney ( 3 pgs.).
- X Notice to File Missing Parts (2pg.)
- X Communication Re: Corrected Application Papers (1 pg.)
- X Formal Drawings (25 pgs.).

**Applicant claims small entity status under 37 C.F.R. 1.27.**

If not provided for in a separate paper filed herewith, please consider this a PETITION FOR EXTENSION OF TIME for sufficient number of months to enter these papers and please charge any additional required fees or credit overpayment to Deposit Account No. 19-0743.

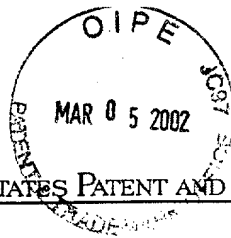
SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
P.O. Box 2938, Minneapolis, MN 55402 (612-373-6900)

By: Charles A. Lemaire  
Name: Charles A. Lemaire  
Reg. No. 36,198  
CAL:CMG:sc

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Attn: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on this 19<sup>th</sup> day of February, 2002.

Seatanattie Creg  
Name

Seatanattie Creg  
Signature



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/995,407	11/27/2001	Mark P. Bendett	1014.010US1

CONFIRMATION NO. 1933

21186

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
P.O. BOX 2938  
MINNEAPOLIS, MN 55402

## FORMALITIES LETTER



\*OC000000007263763\*

✓  
Msg Pts Due 3-3-02  
Stat 8-3-02

Date Mailed: 01/03/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

03/08/2002 BNGUYEN1 00000093 09995407

FILED UNDER 37 CFR 1.53(b)

01 FC-201	370.00 OP
02 FC-203	90.00 OP
03 FC-202	42.00 OP
04 FC-205	65.00 OP

Filing Date Granted

COPY OF PAPERS  
ORIGINALLY FILED

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity.*
- Total additional claim fee(s) for this application is \$132.
  - \$90 for 10 total claims over 20.
  - \$42 for 1 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 567.

CMG RECEIVED

JAN 09 2001

Schwegman, Lundberg  
Woessner & Kluth, P.A.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
  - Drawings must be reasonably free from erasures and must be free from alterations, overwritings, interlineations, folds, and copy marks.

✓  
Corrected Papers  
Due 3-3-02  
Stat 8-3-02

*A copy of this notice MUST be returned with the reply.*

Q.W.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

*(The following text is extremely faint and largely illegible due to poor scan quality. It appears to be a list or index of names and dates.)*